7)2-04-02

#### Practitioner's Docket No. 6926-3

**PATENT** 

Preliminary Classification
Proposed Class:
Subclass

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



**Box Patent Application Assistant Commissioner for Patents** Washington, D.C. 20231

#### **NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of

Inventor(s):

Les A. Newman

For (title):

SYSTEMS, METHODS AND COMPUTER PROGRAM PRODUCTS FOR

MANAGING EMPLOYEE BENEFITS

#### 1. Type of Application

This transmittal is for a continuation-in-part (C-I-P) application.

#### CERTIFICATION UNDER 37 C.F.R. $\S$ $\S$ 1.8(a) and 1.10\* (When using Express Mail, the Express Mail label number is mandatory; **Express Mail certification is optional)**

	MAILING
☑ deposited with the United States Postal Service in an envelope add	ressed to the Assistant Commissioner of Patents, Washington D.C. 20231
☐ with sufficient postage as first class mail.	Was "Express Mail Post Office to Addressee" Mailing Label No.————————————————————————————————————
	No EL 8A9634386 US
☐ facsimile transmitted to the Patent and Trademark Office, 703	-
	Lynk. Loe
Date: 7 3/ 2002	Signatule

<sup>\*</sup> Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to  $Addressee" (\S~1.10) \ or facsimile \ transmission \ (\S~1.6(d)) \ for \ the \ reply \ to \ be \ accorded \ the \ earliest \ possible \ filing \ date \ for \ patent \ term \ adjustment$ calculations.

# 2. Benefit of Prior U.S. Applications (35 U.S.C. Sections 119(e), 120, or 121)

The new application being transmitted claims the benefit of prior U.S. applications. Enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATIONS CLAIMED.

#### 3. Papers Enclosed

A. Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design)
Application

85 Page(s) of Specification 7 Page(s) of Claims 39 Sheet(s) of Drawing(s)--Formal

#### B. Other Papers Enclosed

3 Page(s) of declaration and power of attorney 1 Page(s) of abstract

#### 4. Declaration or Oath

Enclosed

Executed by:

\* inventor.

#### 5. Inventorship Statement

The inventorship for all the claims in this application is the same.

#### 6. Language

English

#### 7. Fee Calculation (37 C.F.R. Section 1.16)

Regular Application

CLAIMS AS FILED							
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee Small Entity 37 CFR 1.16(a \$370.00		
Total Claims							
(37 CFR 1.16(c))	22	- 20 =	2 x	\$9.00	\$18.00		
Independent Clai	ms						
(37 CFR 1.16(b))	3	- 3 =	0 x	\$0.00	\$0.00		
Multiple Depend	ent						
Claim(s), if any (37 CFR 1.16(d))	ı		+	\$0.00	\$0.00		
·							
T	Filing Fee Calculat	ion			\$388.00		

### 8. Small Entity Statement(s)

Status as small entity was claimed in prior application 09/726,869, filed on November 30, 2000, from which benefit is being claimed for this application and which status as a small entity is still proper and desired.

Filing Fee Calculation

\$388.00

#### 9. Fee Payment Being Made at This Time

Enclosed

Filing Fee
Total Fees Enclosed

\$388.00 \$388.00

#### 10. Method of Payment of Fees

Check in the amount of \$388.00 is attached.

### 11. Instructions as to Overpayment or Underpayment

Credit/Withdrawal on Account No. 15-0450.

# ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATIONS CLAIMED

#### 12. Relate Back

Amend the specification by inserting, before the first line, the following sentence:

#### A. 35 U.S.C. Section 119(e)

"This application claims the benefit of U.S. Provisional Application Nos.:

#### APPLICATION NO.

FILING DATE

60/265,859

02/01/2001

#### B. 35 U.S.C. Sections 120, 121 and 365(c)

"This application is a continuation-in-part of copending application number 09/726,869 filed on November 30, 2000.

#### 13. Maintenance of Copendency of Prior Application

A. Conditional Petition for Extension of Time in Prior Application

A conditional petition for extension of time is being filed in the pending prior application.

## 14. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed

The inventorship for all the claims in this application is the same.

#### 15. Small Entity (37 C.F.R. Section 1.28(a))

Applicant has established small entity status by the filing of a statement in parent application 09/726,869 on November 30, 2000.

Date: January 30,2002

Reg. No.: 44,491

Tel. No.: 330-864-5550 Customer No.: 021324 Signature of Practitioner

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